



RECRUITMENT AND SELECTION POLICY AND PROCEDURE

The OHC&AT Board of Trustees has agreed this Policy – 13th July 2023.

Jay Mercer
Chair of OHCAT Board

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Peter Lauener
Chair of OHC Board

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Recruitment and Selection Policy

INTRODUCTION

Orchard Hill College and Academy Trust (OHC&AT) is committed to providing outstanding educational opportunities for all our pupils and students. Part of that commitment is an undertaking to recruit and retain the highest standard of employees. This policy and procedure sets out OHC&AT's principles and processes for carrying out recruitment and selection. OHC&AT recognises that its employees are fundamental to its success and is committed to recruiting a high quality workforce with appropriate expertise and experience to deliver its strategic objectives and, most importantly, to ensure that all pupils and students have an outstanding experience.

OHC&AT is proactive in promoting diversity and ensuring that difference is recognised and celebrated within the context of fairness and equality. In its recruitment practices OHC&AT will treat all potential employees with dignity and respect, valuing the diversity of all and by doing so appointing the best person for the job.

OHC&AT is committed to safeguarding and promoting the welfare of children, young people and vulnerable adults, and expects all staff (including supply staff), governors and volunteers to share this commitment. This includes ensuring that robust safe recruitment and selection procedures are adopted which deter, reject or identify people who might abuse children and young adults or are otherwise unsuitable to work with them. OHC&AT will comply with all the relevant legislation, recommendations and guidance published by the Department of Education, [Keeping Children Safe in Education](#), the Education and Training (Welfare of Children) Act 2021, the Childcare Act (2006)(as amended 2018), Childcare (Disqualification) and Childcare (Early Years Provision Free of Charge) (Extended Entitlement) (Amendment) Regulations 2018 ("the 2018 regulations"), the [Prevent Duty Guidance for England and Wales](#) (the Prevent Guidance), the School Staffing (England) Regulations 2009, the Education (Independent School Standards) (England) 2014 (as amended), the [Disclosure and Barring Service \(DBS\) code of practice](#) and the Modern Slavery Act 2015.

OHC&AT ensures that it meets its commitment to safeguarding and promoting the welfare of children, young people and vulnerable adults by carrying out the necessary pre-employment checks in accordance with safer recruitment statutory guidance within Keeping Children Safe in Education. This also helps to ensure that our recruitment processes are transparent and guard against risks associated with modern slavery and human trafficking.

This policy aims to ensure that no applicant receives less favourable treatment on the grounds of race, gender, disability, gender reassignment, age, social class, sexual orientation, religion or belief, marriage and civil partnership, pregnancy and maternity. Flexible recruitment practices may be adopted for those with a disability.

It is the responsibility of every employee involved in recruitment and selection to ensure compliance with this policy. Guidance on the implementation of this policy

(known as the Recruitment and Selection Procedure and Disclosure Guidance) is provided in the Appendices.

This policy and procedure applies to all appointments to OHC&AT including fixed term and staff on zero hour contracts. All references to Orchard Hill College and Academy Trust (OHC&AT) include both Orchard Hill College (OHC) and Orchard Hill College Academy Trust (OHCAT) as employers unless otherwise specified.

POLICY STATEMENT

OHC&AT will always seek to recruit the best candidate for the job. Vacancies will be advertised internally across the organisation and most, including leadership vacancies, will be advertised externally using the most appropriate advertising medium. In extenuating circumstances, where there is a proven business case, the Chief Executive Officer may waive the requirement to advertise.

To ensure that those involved in recruiting and selecting candidates are able to successfully test the candidate's ability and experience against a clearly defined person specification, OHC&AT offers:

- Specific training in respect of safer recruitment and selection;
- Supervised/supported experience of recruitment

Recruitment and selection is a key public relations exercise and should enhance the reputation of OHC&AT. All candidates will be treated with respect and courtesy, aiming to ensure that the candidate experience is positive, irrespective of the outcome.

Panel members should not be involved in a recruitment exercise and/or appointment where they are related to an applicant or have a close personal relationship with them outside work. All staff are required to declare any personal or professional relationships or interests that may have bearing on their work, upon appointment and as and when the situation demands.

OHC&AT welcomes applications from people with disabilities and will always seek to ensure that we operate a fair and accessible recruitment and selection process, including making reasonable adjustments where necessary.

All documentation relating to candidates will be treated confidentially in accordance with the Data Protection Act 2018 and the General Data Protection Regulation (GDPR).

POLICY REVIEW DETAILS

<i>Version:</i>	2.0
<i>Reviewer:</i>	OHC&AT HR
<i>Approval body:</i>	Family Board
<i>Date this version approved:</i>	13 th July 2023
<i>Due for review:</i>	Summer 2024

RELATED POLICIES AND PROCEDURES

Anti-Radicalisation Policy

Child Protection, Adult Protection and Safeguarding Policy

Conflict of Interest Policy

Equality, Diversity & Inclusion Policy

Modern Slavery Statement (Procurement Policy Annex E)

Remuneration Policy for Senior Executives

Staff Code of Conduct

APPENDIX 1: RECRUITMENT AND SELECTION PROCEDURE

The following provides information for all recruiters and HR from pre-recruitment to employment. The recruitment and selection checklist in Appendix 2 should be used in conjunction with this procedure.

Section 1: Pre-recruitment process

Job Description and Person Specification

Before any recruitment activity, it is good practice to review the job description and person specification to ensure they are up to date and an accurate reflection of what the job entails.

A job description summarises the main duties and responsibilities of the post, and the post holders responsibility to safeguard and promote the welfare of children and young adults with whom they have contact or for whom they are responsible.

The required level of DBS must be made clear on the job description.

The person specification should relate directly to the job description and set out the core qualifications, experience, skills and behaviours required to perform the role. It should also describe the competencies and qualities that the successful candidate should be able to demonstrate. Some criteria may be desirable rather than essential and it is good practice to highlight this.

If it is a new role, you will need to draft a job description and person specification and OHC&AT Human Resources (HR) can support you with this. Some new roles will need evaluation by the HR team using the job evaluation system. Only staff trained in the job evaluation scheme may evaluate a position and wherever practical, a second evaluator should verify the job and salary grading.

Both job descriptions and person specifications must be completed at the same time and before the job is advertised.

Advertising the role

Please discuss with OHC&AT HR how you intend to fill the role, including internal and external advertisement strategies. They can advise you on effective ways to attract applicants and different media to use depending on the role.

In extenuating circumstances the CEO may waive the need to advertise – you will need their express authority to do this.

Each advertisement will detail that OHC&AT is committed to safeguarding and promoting the welfare of children, young people and vulnerable adults and expects all staff and volunteers to share this commitment, and that appointments made will be subject to safeguarding checks including an enhanced/basic DBS check.

The application process

All applicants will be required to complete an application form including information about their academic and employment history and their suitability for the role.

Applicants will also be asked to provide details of their online profile, including account names and social media handles so that online searches can be carried out on shortlisted candidates. This information must be provided by the applicant in order for the application to be accepted.

Should there be any gaps in academic or employment history, a satisfactory explanation must be provided at interview. A curriculum vitae will not be accepted in place of the completed application form, as these will contain the information the candidate wishes to present and may omit relevant details.

Where a role involves engaging in regulated activity relevant to children, OHC&AT will include a statement in the application form or elsewhere in the information provided to applicants that it is an offence to apply for the role if the applicant is barred from engaging in regulated activity relevant to children.

Internal candidates applying for a post outside their school/centre/department

Internal candidates should inform their line manager/Principal that they have applied for an internal vacancy. Staff will not be penalised or prevented from applying elsewhere if they are not successful.

If successful, the current line manager/Principal should discuss the proposed start date with the new line manager/Principal, taking into consideration the employee's notice period as detailed in their contract of employment.

In these instances, pre-employment checks will be carried out, which will include an up to date health assessment, a DBS check (if the current DBS is 3 years old) and one reference from their current employer.

The Selection Panel

Shortlisting and selection interviews will be completed by at least two people to ensure fairness and consistency and prevent bias. The same selection panel should both shortlist and interview candidates.

Shortlisting

The panel should agree their criteria and evidence for selecting for interview; these should be objective, relevant to the role and measurable, and against the person specification and job description. In drawing up a shortlist there should be a systematic and consistent approach. All application forms should be assessed equally against the agreed criteria without exception or variation.

In all cases the panel should consider any inconsistencies in candidates' applications, look for gaps in employment and reasons given for them, and explore all potential concerns. They should also ensure the application forms are fully completed. Any concerns should be discussed with OHC&AT HR.

Panel members should use the OHC&AT scoring system and record scores for each candidate. The same scoring method is also used at interview stage. Using these scores, you should decide which candidates you wish to put forward to the next stage in the process.

References will be taken up prior to interview as part of the shortlisting process – please refer to Section 3: Pre-Employment Checks/References for full details regarding references.

Once shortlisting is complete, shortlisted candidates will be asked to:

- Complete a self-declaration of their criminal record or any information that would make them unsuitable to work with children, so that they have the opportunity to share relevant information and discuss it at interview stage. The information we will ask for includes:
 - If they have a criminal history
 - Whether they are included on the barred list
 - Whether they are prohibited from teaching
 - Information about any criminal offences committed in any country in line with the law as applicable in England and Wales
 - Any relevant overseas information
- Sign a declaration confirming the information they have provided is true. Where there is an electronic signature, the shortlisted candidate should physically sign a hard copy of the declaration at point of interview.

OHC&AT may also consider carrying out an online search on shortlisted candidates to help identify any incidents or issues that are publicly available online.

Selection interviews and testing

The school or College will make the arrangements for interview and testing in liaison with OHC&AT HR as required. The following will need to be agreed in advance:

- Shortlisted candidates
- Panel names
- Interview dates and times
- Interview venue
- Test details
- Student involvement as appropriate
- Interview questions and evidence

There are many tests available to enhance the recruitment process, and OHC&AT HR will be able to advise on this. It is helpful to use testing for certain criteria alongside a face to face interview e.g. measuring by observation how a candidate interacts with students.

Interviews should be structured and led by the Chair of the panel. All questions must be objective and relevant to the role. The panel may also agree some follow up or probing questions to be used as appropriate. It is important to be consistent in questioning to ensure fairness and avoid bias with candidates. At least one member of the panel must have had safer recruitment training. All interview panels should include the designated line manager for the role who has experience and an understanding of the role.

Panels for senior postholders will include representation from the relevant governing body: for Academy Principals, this would usually be the Chair of their LGB; for OHC&AT executive roles (Chief Executive Officer, Deputy CEO, Chief Finance Officer, Chief Operating Officer, Principal of Orchard Hill College), this would be one or more members of the OHC&AT Board of Trustees. A member of the HR team should also attend as a panel member.

You should measure the candidate's attitude and values regarding key concepts such as safeguarding, equality and diversity and the Prevent duty during the interview.

If there are any unexplained gaps in employment history, then these should be fully explored at interview and documented. Further checks should be made as appropriate via referencing.

Notes of questions asked and answers given at the interview must be made and retained by the OHC&AT team after the interviews.

Candidates will be asked to bring to their interview documentary evidence of their identity that will satisfy DBS requirements i.e. their passport and/or birth certificate and/or driving licence, together with an additional document such as a utility bill that verifies the candidate's name and address. Where appropriate, evidence of relevant educational and professional qualifications should be provided.

The interview should include discussion of the candidate's self-declaration of any criminal record or information that would make them unsuitable to work with children.

The panel should score the candidates against the agreed framework using the points system. This should be discussed and agreed by the whole panel for both interview and tests. The Chair of the panel will have the final decision. This form should be signed by all interview panel attendees.

A decision as to whether to appoint an individual to a role must be based upon an evaluation of the entire selection process and activities. Appointments must be made on the basis of a person's experiences, ability and suitability to perform the role, rather than on the urgency of the need of the availability of the candidate.

Section 2: Employment Offer

Following the interview, the recruiting manager or HR will telephone the successful candidate. All offers of employment are made subject to clearances and should be conditional until satisfactory completion of all mandatory pre-employment checks. All other candidates will be informed that they were unsuccessful on this occasion. For unsuccessful candidates their personal documents will be shredded immediately.

Section 3: Pre-Employment Checks

This section details all the checks that must take place prior to a candidate starting in post.

The following checks **must** be completed before anyone starts work in an OHC&AT setting. The only exception is set out on p.7 under 'Starting work pending receipt of the DBS Disclosure' and only applies to OHC&AT employees; OHC staff may not commence employment until the DBS has been received and presented. This follows the regulatory framework set out in Keeping Children Safe in Education.

Successful candidates are emailed a link to OHC&AT's employee screening portal which candidates must complete and submit within 21 days of receiving the initial email. OHC&AT reserves the right to withdraw an offer of employment should the candidate not complete the screening within the timeline stipulated. All checks are recorded, documented and retained within the portal and followed up where they are unsatisfactory or where there are discrepancies in the information provided.

Most OHC&AT employees will require an enhanced DBS check; some central service roles (e.g. those based entirely off school or College premises and who do not undertake regulated activity) will only require a standard or basic DBS. The required level of DBS will be made clear on the job description.

Employment will be conditional on the following:

- the agreement of a mutually acceptable start date and the signing of a contract incorporating OHC&AT's standard terms and conditions of employment;
- verification of the applicant's identity and right to work (this should have already been seen at interview);
- verification of qualifications, whether professional or otherwise, which OHC&AT takes into account in making the appointment decision, or which are referred to in the application form, whether a requirement for the role or not;
- verification of the applicant's employment history;
- OHC&AT being satisfied that any information generated through online searches does not make the applicant unsuitable to work at OHC&AT;
- the receipt of a minimum of two satisfactory references (one of which must be from the applicant's most recent employer) – please refer to the References section below for further information regarding what constitutes a satisfactory reference;
- for teachers applying for qualified teacher roles, evidence of QTS or QTLS

- receipt of a clear DBS certificate (enhanced or standard, depending on job role) with barred list check for those in regulated activity. If there are any convictions these must be discussed with the candidate and in some cases, depending on the conviction, the offer of employment may be withdrawn – please seek advice from HR;
- for positions which involve "teaching work", information about whether the applicant has ever been referred to, or is the subject of a sanction, restriction or prohibition issued by the Teaching Regulation Agency (TRA) which renders them unable or unsuitable to work at OHC&AT;*
- check that the individual is not subject to any historic sanctions and restrictions imposed by the General Teaching Council for England;*
- for applicants who have carried out teaching work outside the UK, information about whether the applicant has ever been referred to, or is the subject of a sanction issued by a regulator of the teaching profession in any other country which renders them unable or unsuitable to work for OHC&AT;
- where the position amounts to "regulated activity", the receipt of a satisfactory enhanced disclosure with barred list check from the DBS;
- information about whether the applicant has ever been subject to a direction under section 142 of the Education Act 2002 which renders them unable or unsuitable to work in a OHC&AT setting
- for management positions in the Academies and College, information about whether the applicant has ever been referred to the Department for Education, or is the subject of a direction under section 128 of the Education and Skills Act 2008 which renders them unable or unsuitable to work at the Academy or College;
- where relevant, confirmation that the applicant is not disqualified from acting as a trustee / governor or senior manager of a charity under the Charities Act 2011;
- where relevant, confirmation that the applicant is not disqualified from working in connection with early or later years provision (Disqualification under the Childcare Act 2006, as amended 2018);
- verification of the applicant's medical fitness for the role;
- verification of the applicant's right to work in the UK; and
- any further checks which OHC&AT decides are necessary as a result of the applicant having lived or worked outside of the UK within the last five years for a period of three months or more, which may include: an overseas criminal records check; a certificate of good conduct or professional references. This includes those who have lived or worked in the EEA.

In accordance with the guidance set out in [Keeping Children Safe in Education](#), the Education and Training (Welfare of Children) Act 2021, the Childcare Act 2006 (as amended 2018) and the 2018 regulations, and the requirements of the Education (Independent School Standards) Regulations England 2014 (as amended), OHC&AT carries out a number of pre-employment checks in respect of all prospective employees.

These checks are carried out to ensure that candidates are suitable to work for OHC&AT, including satisfying the principles of the harm test as set out in Keeping Children Safe in Education which state that a person may pose a risk of harm to children or vulnerable adults if they have:

- behaved in a way that has harmed a child/vulnerable adult, or may have harmed a child/vulnerable adult;
- possibly committed a criminal offence against or related to a child/vulnerable adult;
- behaved towards a child/vulnerable adult in a way that indicates they may pose a risk of harm to a child/vulnerable adult; or
- behaved or may have behaved in a way that indicates they may not be suitable to work with children/vulnerable adults.

In fulfilling its obligations OHC&AT does not discriminate on the grounds of race, colour, nationality, ethnic or national origin, religion or religious belief, sex or sexual orientation, marital or civil partner status, disability or age.

Online searches

In addition to the checks set out below, OHC&AT reserves the right to obtain such formal or informal background information about an applicant as is reasonable in the circumstances to determine whether they are suitable to work at OHC&AT. In accordance with paragraph 221 of KCSIE this will include online searches on shortlisted candidates (**online searches**). The online searches OHC&AT carries out may include searches of internet search engines, websites and social media platforms. Applicants are asked in the application form to provide details of their online profile, including account names and social media handles. This information will be used to carry out online searches. Applicants are not required to provide account passwords or to grant OHC&AT access to private social media accounts.

Online searches will only be carried out for successful candidates after an offer of employment has been made (but prior to work commencing). OHC&AT will not carry out online searches as part of its initial sift of applications.

OHC&AT will determine how it approaches online searches on a case by case basis. However, all applicants for a role at the School or College will be treated consistently with regard to online searches.

Wherever possible online searches will be undertaken by a person who will play no other part in the recruitment process (including the shortlisting exercise) or the appointment decision. In carrying out online searches OHC&AT is looking for any publicly available information about an applicant that:

- may be relevant to their suitability to carry out the role for which they have applied;
- may be relevant to their suitability to work at the school/College or in an education setting;
- is of a safeguarding nature; and / or
- may have an impact on the school or College's reputation (whether positive or negative)

Online searches are undertaken on the successful applicant only, and any relevant information generated will be discussed prior to employment commencing. All offers

of employment will be conditional upon OHC&AT being satisfied that the successful applicant is suitable to work at the school or College in light of any information generated from online searches.

In evaluating any online information for relevance OHC&AT will use the following criteria:

- whether the information is relevant to the position applied for;
- whether the information is relevant to the applicant's suitability to work at OHC&AT or in an education setting;
- whether the information could have an impact on OHC&AT's reputation (whether positive or negative);
- whether the information calls into doubt the applicant's willingness or ability to uphold the OHC&AT's commitment to safeguarding and promoting the welfare of children and vulnerable adults;
- the length of time since the information became publicly available and whether the applicant's circumstances have changed since the information was published;
- whether the information reveals a pattern of concerning behaviour; and
- the relevant circumstances and the explanation(s) offered by the applicant.

For successful candidates, OHC&AT will retain information generated through online searches for the duration of the individual's employment and in accordance with its Retention of Records Policy after employment ends.

Verification of identity, address, right to work in the UK and qualifications

All applicants who are invited to an interview will be required to bring with them evidence of identity, right to work in the UK, address and any qualifications.

OHC&AT asks for this information at interview to ensure that the person attending the interview is who they claim to be, that they are permitted to work for OHC&AT if appointed and that they hold appropriate qualifications.

Identity and address: all applicants must bring with them to interview, original documents which evidence their identity and address as set out below and in the list of valid identity documents at Appendix 3 (these requirements comply with DBS identity checking guidelines):

- one document from Group 1*; and
- two further documents from either of Group 1*, Group 2a or Group 2b, one of which must verify the applicant's current address; and

(*applicants must always provide their birth certificate as one form of identity unless there is good reason why this cannot be provided).

Where an applicant claims to have changed their name by deed poll or any other means (e.g. marriage, adoption, statutory declaration) they will be required to provide documentary evidence of the change. They will also be required to provide their birth certificate.

OHC&AT asks for the date of birth of all applicants in order to verify identity and check for any unexplained discrepancies in the employment and education history. OHC&AT does not discriminate on the grounds of age.

Right to work in the UK: all applicants must also bring to interview a valid form of evidence which confirms their right to work in the UK. Valid forms of evidence can be found in the Home Office 'Right to Work Checklist': (Right to work checklist (publishing.service.gov.uk)).

OHC&AT will check this evidence in accordance with the Home Office 'Code of Practice on preventing illegal working: Civil penalty scheme for employers: 1 July 2021' (Code of practice on preventing illegal working (publishing.service.gov.uk)).

Qualifications: all applicants must also bring to interview original documents which evidence any educational and professional qualifications referred to in their application form and / or which OHC&AT requests.

References

References will be taken up on shortlisted applicants prior to interview. Please note that no questions will be asked about health or medical fitness prior to any offer of employment being made.

All offers of employment will be subject to the receipt of a minimum of two references which are considered satisfactory by OHC&AT. One of the references must be from the candidate's current employer. If the current / most recent employment does not involve working with children, OHC&AT will also secure a reference from the relevant employer from the last time the candidate worked with children. Neither referee should be a relative or someone known to the applicant solely as a friend.

For references to be considered satisfactory, they must be completed by the candidate's line manager, Principal/Headteacher, HR department or a suitably senior person within the referring organisation, and provide the information required by OHC&AT to make a decision on the candidate's suitability for employment in the role offered. Employment references from personal email addresses will not be accepted.

When seeking references OHC&AT will:

- Not accept open references.
- Liaise directly with referees and verify any information contained within references.
- Ensure any references are from the candidate's current employer and completed by a senior person. Where the referee is school or college based, we will ask for the reference to be confirmed by the headteacher/principal as accurate in respect to disciplinary investigations.
- Obtain verification of the candidate's most recent relevant period of employment if they are not currently employed.
- Secure a reference from the relevant employer from the last time the candidate worked with children if they are not currently working with children.

- Compare the information on the application form with that detailed in the reference and discuss any inconsistencies with the candidate.
- Resolve any concerns before any appointment is confirmed.
- Only accept references obtained directly from the referee and will validate by telephone with referees that all references have been completed by the stated individual.

All referees will be asked whether they believe the applicant is suitable for the job for which they have applied and whether they have any reason to believe that the applicant is unsuitable to work with children. If the referee is a current or previous employer, they will also be asked to confirm the following:

- the applicant's dates of employment, salary, job title / duties, reason for leaving, performance, sickness* and disciplinary record
- whether the applicant has ever been the subject of disciplinary procedures involving issues related to the safety and welfare of children (including any in which the disciplinary sanction has expired), except where the issues were deemed to have resulted from allegations which were found to be unsubstantiated, unfounded, false or malicious
- whether any allegations or concerns have been raised about the applicant that relate to the safety and welfare of children or young people or behaviour towards children or young people, except where the allegation or concerns were found to be unsubstantiated, unfounded, false or malicious

(*questions about health or sickness records will only be included in reference requests sent out after the offer of employment has been made.)

All references received from a school must be countersigned by the Principal/Headteacher of that school.

OHC&AT will compare all references with any information given on the application form. Any discrepancies identified between the reference and the application form and/or the interview assessment form will be considered by the College/Academy and/or OHC&AT HR. The applicant will be asked to provide further information or clarification before an appointment can be confirmed.

OHC&AT will only accept references obtained directly from the referee and will not rely on references or testimonials provided by the applicant or on open references or testimonials.

It is recognised that many organisations only supply factual references i.e. those which contain limited information such as job title and dates of employment. Although this will not necessarily disadvantage an applicant, OHC&AT will contact the provider of the reference to clarify content where the information provided is insufficient to enable an informed decision to be made on the candidate's suitability for the role. This particularly applies with respect to safeguarding. OHC&AT may also seek additional references before an appointment can be confirmed.

No candidate may start work at an OHC&AT setting until at least two satisfactory references have been received.

OHC&AT may at its discretion make telephone contact with any referee to verify the details of the written reference provided.

OHC&AT treats all references given or received as confidential which means that the applicant will not usually be provided with a copy.

All internal candidates who apply for a new role at OHC&AT will have their application assessed in accordance with this procedure. References may be taken up on internal candidates as part of the application process and can be provided by colleagues as the school or College will be the most recent employer and will previously have taken up references from past employers.

Disclosure and Barring Service (DBS)

OHC&AT will apply for an enhanced disclosure from the DBS and a check of the Children's and/or Adults' Barred List (now known as an Enhanced Check for Regulated Activity) in respect of all positions at the College/Academy which amount to "regulated activity" as defined in the Safeguarding Vulnerable Groups Act 2006 (as amended). The purpose of carrying out an Enhanced Check for Regulated Activity is to identify whether an applicant is barred from working with children or adults by inclusion on the relevant Barred List and to obtain other relevant suitability information. For some central service roles (e.g. those based entirely off school or College premises and who do not undertake regulated activity) OHC&AT will apply for a Standard or Basic DBS.

The DBS issues the DBS disclosure certificate, via paper copy in the post, to the subject of the check only, rather than to OHC&AT. It is a condition of employment with the College/Academy that the **original** disclosure certificate is provided to OHC&AT as soon as practical after the certificate is received. For new starters, the DBS disclosure certificate must be evidenced on their first day of employment, if received in the post.

Employment will remain conditional upon the original certificate being provided and it being considered satisfactory by the College/Academy.

Starting work pending receipt of the DBS Disclosure (Academies only)

If there is a delay in receiving a DBS disclosure, Academy Principals have discretion to allow an individual to begin work pending receipt of the disclosure certificate. This will only be allowed if **all other checks**, including a clear check of the Children and/or Adults' Barred List as applicable to the role and setting (where the position amounts to regulated activity) and receipt of satisfactory references, have been completed and once appropriate supervision has been put in place. Appendix 4 provides a risk assessment which must be completed and signed prior to the start of employment. Final authorisation will be required by OHC&AT HR.

This does not apply to Orchard Hill College, in line with the regulatory framework set out in [Keeping Children Safe in Education](#), and OHC staff must present their original DBS certificate before commencing employment.

Applicants who have lived or worked outside the UK

From 1st January 2021 the TRA Teacher Services system no longer maintains a list of teachers who have been sanctioned in EEA member states. Individuals who have lived or worked outside the UK must undergo the same checks as all other staff. In addition, OHC&AT must make any further checks considered appropriate so that any relevant events that occurred outside the UK can be considered. Following the UK's exit from the EU, OHC&AT should apply the same approach for any individuals who have lived or worked outside the UK regardless of whether or not it was an EEA country or other country in the world.

DBS checks will still be requested for applicants with recent periods of overseas residence and those with little or no previous UK residence. OHC&AT will take into account the "DBS unusual addresses guide" in such circumstances.

For applicants who are living overseas, or who have lived overseas previously, obtaining a DBS certificate may be insufficient to establish their suitability to work at OHC&AT. In such cases the applicant will be required to provide additional information about their suitability from the country (or countries) in which they have lived. OHC&AT's policy is to request such information from each overseas country in which the applicant has lived for a period of three months or more in the previous five years.

When requesting such information OHC&AT has regard to relevant government guidance and will therefore always require the applicant to apply for a formal check from the country in question i.e. a criminal records check (or equivalent) or a certificate of good conduct.

OHC&AT recognises that formal checks are not available from some countries, that they can be significantly delayed or that a response may not be provided. In such circumstances OHC&AT will seek to obtain further information from the country in question, such as a reference from any employment undertaken in that country.

In addition, where an applicant for a teaching position has worked as a teacher outside of the UK, OHC&AT will ask the applicant to obtain from the professional regulating authority of the teaching profession in each country in which they have worked as a teacher, evidence which confirms that they have not imposed any sanctions or restrictions on the applicant and that they are not aware of any reason why the applicant may be unsuitable to work as a teacher.

Sanctions and restrictions issued by the regulating authority of another country will not prevent a person from working as a teacher at OHC&AT. However, OHC&AT will take all relevant information into account in determining whether an applicant is suitable to work at OHC&AT.

OHC&AT may allow an applicant to commence work pending receipt of a formal check from a particular country if it has received a reference and/or letter of professional standing from that country and considers the applicant suitable to start work. Decisions on suitability will be based on all of the information that has been obtained during the

recruitment process. Unless expressly waived by OHC&AT, continued employment will remain conditional upon OHC&AT being provided with the outcome of the formal check and it being considered satisfactory.

OHC&AT will take proportionate risk based decisions on a person's suitability in these circumstances. All suitability assessments must be documented and retained on file.

Reference will be made to the Home Office Guidance on criminal records checks for overseas applicants. It is recognised that such checks can take time and in exceptional circumstances it may not be possible to obtain this information. Where this information is not available schools/College should seek alternative methods of checking suitability and/or undertake a risk assessment that supports informed decision making on whether to proceed with the appointment.

If the formal check is delayed and OHC&AT is not satisfied about the applicant's suitability in the absence of that information, the applicant's proposed start date may be delayed until the formal check is received.

Work can only commence once sufficient overseas information has been received and only if OHC&AT has considered that information and confirmed that the applicant is suitable to commence work at the College/Academy.

Prohibition from teaching check

OHC&AT will check whether staff who carry out "teaching work" as defined in Keeping Children Safe in Education are prohibited from doing so. OHC&AT uses the Teaching Regulation Agency (TRA) Teacher Services system to check whether successful applicants are the subject of a prohibition, or interim prohibition order issued by a professional conduct panel on behalf of the TRA. The GTCE list will also be checked. However due to the nature of the OHC&AT settings, these checks are carried out on all successful applicants.

Where an applicant is not currently prohibited from teaching but has been the subject of a referral to, or hearing before, the Teaching Regulation Agency (or other equivalent body), whether or not that resulted in the imposition of a sanction, or where a sanction has lapsed or been lifted, the school or College will consider whether the facts of the case render the applicant unsuitable to work at the school or College.

Prohibition from management check

OHC&AT is required to check whether any applicant for a management position is subject to a direction under section 128 of the Education and Skills Act 2008 which prohibits, disqualifies or restricts them from being involved in the management of an Academy or College (a **section 128 direction**).

OHC&AT will carry out checks for section 128 directions when appointing applicants into management positions from both outside OHC&AT and by internal promotion.

This check applies to appointments to management positions within Academies and the College including members of Local Governing Bodies, College and Trust Directors and leaders and managers working for OHC&AT, made on or after 12th August 2015.

OHC&AT will assess on a case by case basis whether the check should be carried out when appointments are made to teaching and support roles which carry additional responsibilities.

The relevant information is contained in the enhanced DBS disclosure certificate (which OHC&AT obtains for all posts at OHC&AT that amount to regulated activity). It can also be obtained through the Teaching Regulation Agency Teacher Services system. OHC&AT will use either, or both, methods to obtain this information.

Where an applicant is not currently prohibited from management but has been the subject of a referral to, or hearing before, the Department for Education or other appropriate body, whether or not that resulted in the imposition of a section 128 direction or other sanction, or where a section 128 direction or other sanction has lapsed or been lifted, OHC&AT will consider whether the facts of the case render the applicant unsuitable to work at OHC&AT.

Childcare disqualification

The Childcare Act 2006 (**Act**) and the Childcare (Early Years Provision Free of Charge) (Extended Entitlement) (Amendment) Regulations 2018 (**Regulations**) state that it is an offence for the school to employ anyone in connection with our early years provision (**EYP**) or later years provision (**LYP**) who is disqualified, or for a disqualified person to be directly involved in the management of EYP or LYP. The definitions are:

- (a) *EYP includes usual school activities and any other supervised activity for a child up to 1 September after the child's 5th birthday, which takes place on the school premises during or outside of the normal school day;*
- (b) *LYP includes provision for children not in EYP and under the age of 8 which takes place on school premises outside of the normal school day, including, for example breakfast clubs, after school clubs and holiday clubs. It does not include extended school hours for co-curricular activities such as sports activities.*

Disqualification Under the Childcare Act (DUCA) states that only those individuals who are employed directly to provide childcare are covered by the Regulations. 'Childcare' means any form of care for a child, which includes education and any other supervised activity for a child who is aged 5 or under. 'Childcare' in LYP does not include education during school hours but does cover before and after school clubs.

While Disqualification by Association no longer applies in schools, it remains the case that the relationships and associations that staff have in school and outside (including online) may have an implication for the safeguarding of students and as such there is an expectation that staff will speak to the school promptly if any such situation should arise.

Medical fitness

OHC&AT is legally required to verify the medical fitness of anyone to be appointed to a post at OHC&AT, after an offer of employment has been made but before the appointment can be confirmed.

OHC&AT will ask all applicants to whom an offer of employment is made to complete a Health Assessment Questionnaire (HAQ), to be reviewed by OHC&AT's occupational health advisor. If OHC&AT's occupational health advisor has any doubts about an applicant's fitness, OHC&AT will consider reasonable adjustments in consultation with the applicant. OHC&AT may also seek a further medical opinion from a specialist or request that the applicant undertakes a full medical assessment.

OHC&AT is aware of its duties under the Equality Act 2010. No job offer will be withdrawn without first consulting with the applicant, obtaining medical evidence, considering reasonable adjustments and suitable alternative employment.

Declaration of Interests

OHC&AT requires all prospective employees to declare personal or professional relationships or interests that may have bearing on their work. This may include pre-existing relationships with other members of OHC&AT staff or families of OHC&AT students, or links to connected organisations such as OHC&AT suppliers. This information is requested by OHC&AT HR as part of the standard pre-employment checks (detailed on application form).

Section 4: Agency staff, contractors, governors and volunteers

The School Office Manager/Centre Admin Officer is responsible for updating the Single Central Record (SCR) with the information obtained on the following groups prior to their engagement at the school/college.

In all instances the school or college must also check that the person presenting themselves for work is the same person on whom the checks have been made.

Agency staff

Agencies who supply staff to OHC&AT must also complete the pre-employment checks which OHC&AT would otherwise complete for its staff. OHC&AT requires written confirmation that these checks have been completed before an individual can commence work at the College/Academy, via completion and receipt of the Compliance and Vetting Form for Agency staff.

All agency staff working in a College or school setting must hold a valid Enhanced DBS certificate, with (if the role falls within regulated activity) the appropriate barring check: Enhanced Child Workforce / Enhanced Child & Adult Workforce / Basic,

depending upon the age range of the pupils/students they are working with and the nature of their role.

DBS certificates must be less than 3 months old on the date the individual starts working for OHC&AT, unless the individual has been working in another school or FE College in England in the 3 months prior to starting work with OHC&AT. This does not apply to candidates who are registered with the DBS Update Service.

OHC&AT will independently verify the identity of individuals supplied by an agency and requires the provision of the DBS disclosure certificate before the start date of those individuals.

The school or college must also check that the person presenting themselves for work is the same person on whom the checks have been made.

Contractors

OHC&AT must complete the same checks for contractors and their employees undertaking regulated activity at OHC&AT as it does for its own employees. OHC&AT requires written confirmation from the contractors that it has completed these checks on all individuals whom it intends will work at OHC&AT before any such individual can commence work at OHC&AT.

Contractors engaging in regulated activity will require an enhanced DBS certificate including barred list information. The DBS must be less than 12 months old on the date the individual starts working for OHC&AT. This does not apply to candidates who are registered with the DBS Update Service.

Self-employed contractors

Careful consideration should be given by the Principal/ Head of College/Central Team Lead to the role completed by the contractor and if this falls into regulated activity, in order to make a decision about which checks are appropriate.

Self-employed contractors engaging in regulated activity will require an Enhanced DBS certificate including barred list information. Prior to their starting work, OHC&AT may also validate relevant qualifications and source two references. The self-employed contractor will need to state they are medically fit to work, based on the job role. A health assessment questionnaire does not need to be completed.

For all other contractors who will not have opportunity for regular contact with children and vulnerable adults, and who are not in regulated activity, it should be decided by the Principal/ Head of College/Central Team Lead whether a Standard or Basic DBS disclosure would be appropriate.

Contractors providing a service to OHC&AT will be required, wherever possible, to attend College/Academy centres outside of timetabled hours, preventing contact with students/pupils.

Governors

OHC&AT will request an enhanced DBS disclosure with barred list for all governors undertaking regulated activity with pupils/students at or on behalf of the College/Academy.

Under no circumstances will OHC&AT permit an unchecked governor or volunteer to have unsupervised contact with pupils/students.

Volunteers

It is the responsibility of the Principal / Head of College to undertake a written risk assessment and use their professional judgement and experience when deciding what checks, if any, are required. The risk assessment should consider:

- The nature of the work with children and young adults, especially if it will constitute regulated activity, including the level of supervision.
- What the establishment knows about the volunteer, including formal or informal information offered by staff, parents and other volunteers.
- Whether the volunteer has other employment or undertakes voluntary activities where referees can advise on their suitability.

OHC&AT would source two references and the completion of a 5 years history grid.

OHC&AT will request an enhanced DBS disclosure and Children's Barred List information on all volunteers undertaking regulated activity with pupils at OHC&AT.

OHC&AT will request an enhanced DBS disclosure without Children's Barred List information on all volunteers who do not undertake regulated activity. This is likely to be because their volunteering duties are subject to regular, day to day supervision by a fully checked member of staff, or by a volunteer who OHC&AT has deemed appropriate to supervise and ensure the safety of those pupils/students in their care.

Under no circumstances will OHC&AT permit an unchecked volunteer to have unsupervised contact with pupils/students.

It is OHC&AT's policy that a new Enhanced DBS certificate is required for volunteers who will engage in regulated activity but who have not been involved in any activities with OHC&AT for three consecutive months or more. Those volunteers who are likely to be involved in activities with the College/Academy on a regular basis may be required to sign up to the DBS update service as this permits the OHC&AT to obtain up to date criminal records information without delay prior to each new activity in which a volunteer participates.

Existing volunteers engaging in regulated activity do not have to be re-checked if they have already had a DBS check (which includes barred list information) unless the school or College have any concerns.

Section 5: Retention and security of disclosure information

OHCAT's policy is to observe the guidance issued or supported by the DBS on the use of disclosure information.

OHC&AT is legally required to undertake the above pre-employment checks. Therefore, if an applicant is successful in their application, the College/Academy will retain on their personnel file any relevant information provided as part of the application process. This will include copies of documents used to verify identity, right to work in the UK, medical fitness and qualifications. Medical information may be used to help OHC&AT to discharge its obligations as an employer so that OHC&AT may consider reasonable adjustments if an employee has a disability, or to assist with any other workplace issue.

This documentation will be retained by OHC&AT for the duration of the successful applicant's employment with the College/Academy. It will be retained in accordance with OHC&AT's retention of records schedule after employment terminates.

If the application is unsuccessful, all documentation relating to the DBS application will be shredded immediately.

The same policy applies to any suitability information obtained about volunteers involved with OHC&AT activities.

APPENDIX 2: RECRUITMENT CHECKLIST

Action	Date completed	Completed by (please initial)
Post ID obtained from Assistant Finance Business Partner (Assistant FBP)		
Draft/review job description		
Draft/review person specification		
Job description and person specification approved by Principal/ Recruiting Manager/ HR		
Advertisement written and approved by Principal/ Recruiting Manager/ HR		
Job description, person specification and advertisement, together with Post ID, emailed to HRSupport inbox to be uploaded to generic / specialist websites		
Advert Closing Date – application forms to be assessed and shortlisted		
Review application form and complete shortlisting assessment form to decide whether to invite applicant for interview (Academies)		
Review application form and complete shortlisting assessment form, together with interview panel activities information to HRSupport inbox (OHC & QH only)		
Send 'regret at application stage' email to unsuccessful candidates		
Send invitation to interview email to applicant, including request for applicant to complete, sign and return self-declaration regarding criminal record or other information that may make them unsuitable to work with children		
Request pre-interview reference via TES to referees stated on application form		
Chase candidates who have not confirmed their attendance at interview		
Chase referees who have not responded to pre-interview reference prior to interview date		

Action	Date completed	Completed by (please initial)
<i>The Interview Day</i>		
<p>A member of the interview panel collects the candidate</p> <p>SOM / HR / Centre Admin Team / interview panel member takes photocopies of the following documents:</p> <ul style="list-style-type: none"> • Evidence of identity and address • Evidence of right to work in the UK • Evidence of qualifications <p>All documents must be verified with the employee's name and signature, and dated.</p> <p>Note – please advise candidates that their documents will be shredded if they are unsuccessful</p>		
<p>Check the application form that a full employment history has been provided. If not, or if any gaps in the applicant's employment history have been identified, check why this is and make a note on the interview notes. The recruiting panel must be confident that the explanations are satisfactory.</p>		
<p>Check whether the applicant has lived or worked overseas in the last 5 years and make a note on the interview notes.</p> <p>Consider whether overseas checks will be required if an offer of employment is made. If yes, what information will be requested and from which countries:</p> <ul style="list-style-type: none"> • Criminal records check • Certificate of good conduct • references 		
<p>For teaching positions only: has the applicant worked as a teacher outside of the UK?</p> <p>If yes, the applicant will be required to obtain evidence of their professional conduct from the regulator of the teaching profession in each relevant country.</p>		
<p>Ask candidate to sign self-declaration form if hard copy not previously signed</p>		
<p>Review self-declaration of criminal record. If the applicant has answered 'Yes' to any question ensure these areas are adequately discussed at interview.</p>		

Action	Date completed	Completed by (please initial)
Review received pre-interview references. Are there any gaps, inconsistencies or anomalies in the information provided?		
Conduct Interview		
Complete Interview Notes and read T&Cs to each candidate at interview		
Complete interview assessment form with interview panel members		
Proceed with the recruitment?		
No - send regret following interview communication to unsuccessful candidates		
Yes - contact successful candidate (by email or telephone) to inform them they have been successful and that the offer made is based upon satisfactory clearances		
For Academies:		
Conditional offer of employment letter issued by SOM/ School HR.		
<p>If offer has been accepted, SOM/ School HR send all interview paperwork & verified documents to HRsupport inbox to request pre-employment clearance checks, including:</p> <ul style="list-style-type: none"> • Application Form (if with any notes) • Offer Letter • Verified documents (checked, copied, signed & dated) • Interview Questions Records • Interview Notes and T&Cs (if any notes taken) • Declaration Form by candidate with physical signature • Interview Assessment Form (completed and signed by Interview Panel members) <p>HR team will send link to candidate to complete on screening portal and start clearance checks, once all documents listed above are received.</p>		

Action	Date completed	Completed by (please initial)
<p>For OHC & Quadrant House staff:</p> <p>Recruiting manager to send offer details, all interview paperwork & verified documents for each appointed candidate to HRSupport inbox, including:</p> <ul style="list-style-type: none"> • Application Form (if with any notes) • Offer details, e.g. salary, hours, etc. • Verified documents (checked, copied, signed & dated) • Interview Questions Records • Interview Notes and T&Cs • Declaration Form by candidate with physical signature • Interview Assessment Form (completed and signed by Interview Panel members) <p>HR team will issue offer letter once all documents listed above are received</p>		
Offer accepted.		
HR team will send link to candidate to complete on screening portal and start clearance checks.		
HR team conduct clearance checks together with completed SCR checklist, and send clearance report to Principal/ Recruiting Manager and cc SOM/ HRBP for approval.		
Proceed with the recruitment?		
If yes, SOM send letter to candidate to confirm start date. SOM also send Starter Staff Notification Form (SNF) to HR for payroll processing.		
HR Issue Contracts for new starters to return in 2 weeks		
HR Complete Single Central Register via SelectHR		

Checked by

Name

Date

APPENDIX 3: LIST OF VALID IDENTITY DOCUMENTS

Group 1: primary identity documents

- current valid passport
- biometric residence permit (UK)
- current driving licence photocard - full or provisional (UK / Isle of Man and Channel Islands)
- birth certificate - issued within 12 months of birth (UK, Isle of Man and Channel Islands - including those issued by UK authorities overseas, such as Embassies, High Commissions and HM Forces)
- adoption certificate (UK and Channel Islands)

Group 2a: trusted government documents

- current driving licence: photocard - full or provisional (all countries outside the UK excluding Isle of Man and Channel Islands)
- current driving licence paper version if issued before 1998 - full or provisional (UK / Isle of Man and Channel Islands)
- birth certificate - issued after time of birth (UK, Isle of Man and Channel Islands)
- marriage / civil partnership certificate (UK and Channel Islands)
- immigration document, visa or work permit (issued by a country outside the UK. Valid only for roles whereby the applicant is living and working outside of the UK. Visa / permit must relate to the non UK country in which the role is based)
- HM Forces ID card (UK)
- fire arms licence (UK, Channel Islands and Isle of Man)

All driving licences must be valid.

Group 2b: Financial and social history documents

- mortgage statement (UK)**
- bank / building society statement (UK and Channel Islands)*
- bank / building society statement (countries outside the UK)*
- bank / building society account opening confirmation letter (UK)*
- credit card statement (UK)*
- financial statement - e.g. pension or endowment (UK)**
- P45 / P60 statement (UK and Channel Islands)**
- council tax statement (UK and Channel Islands)**
- letter of sponsorship from future employment provider (non UK only; valid only for applicants residing outside the UK at the time of application; must be valid at time of application)
- utility bill (UK; not mobile telephone bill)*
- benefit statement - e.g. child benefit, pension (UK)*
- a document from central or local government/ government agency / local council giving an entitlement - e.g. from the Department for Work and Pensions, the Employment Service , HM Revenue & Customs (UK and Channel Islands)*
- EEA national ID card (must be valid at time of application)
- Irish passport card (cannot be used with an Irish passport; must be valid at time of application)
- cards carrying the PASS accreditation logo (UK, Isle of Man and Channel Islands; must be valid at time of the application)
- letter from Head or College Principal (UK; for 16-19 year olds in full-time education. This is only used in exceptional circumstances if other documents cannot be provided; must be valid at time of application).

Note

If a document in the list of valid identity documents is:
denoted with * - it should be less than three months old
denoted with ** - it should be less than 12 months old

Risk assessment form: for staff commencing employment pending receipt of enhanced DBS disclosure

The completion of this risk assessment form is the responsibility of the appointing manager or line manager as appropriate. It must be authorised before the person can continue working with children or vulnerable adults for their records. This completed risk assessment form must be placed on the individual's personnel file or other appropriate file and made available to HR for audit, safeguarding and Ofsted inspections.

In **very exceptional circumstances** staff (but not volunteers) who work with children and /or vulnerable adults may work without an enhanced DBS certificate if they are closely supervised (within sight and sound of someone with a clear DBS check). **However, this will normally only be permissible when not to allow them to work would disrupt the care of children and vulnerable adults; e.g. where there is a regulatory requirement to have a ratio of staff to number of children or vulnerable adults. People may only work in these circumstances when the following applies:**

- The appointment is necessary to allow the service provision to continue.
- In the case of children, the person has an enhanced DBS certificate issued within the last year by another body and the person provides the original enhanced DBS certificate to the appointing manager as evidence.
- An enhanced DBS check has been applied for.
- The person has no unsupervised contact with children or vulnerable adults.
- They have no access to sensitive records, particularly information about individual children or vulnerable adults.
- Access to other IT/databases is permitted only after authorisation by the Principal/Deputy Principal.
- This DBS risk assessment has been completed and signed off by the relevant Principal/Deputy Principal.

Please note that candidates may be able to carry out induction, training and supervised tasks whilst waiting for the DBS certificate to be returned subject to a DBS Risk Assessment.

Recruitment & Selection Policy – Appendix 4



If you require any assistance with completing this Risk Assessment, please contact the HR Team.

Name of applicant:	Position applied for:
	Considerations
Has the Children's Barred List been checked?	<i>Yes / No. Confirm date of check.</i>
Is the individual barred from working with children and/or vulnerable adults?	<i>If so, it is an offence for a barred person to work with children or to apply to work with children. You should inform the Police and the Disclosure and Barring Service (DBS).</i>
Have all other pre-employment checks been received (other than the DBS disclosure)?	<i>If no, the individual must not commence employment. If yes, confirm checks completed and date of checks.</i>

<p>Has an enhanced DBS disclosure been requested?</p>	<p><i>If no, the individual must not commence employment. If yes, confirm date requested.</i></p>
<p>Has OHC&AT received official advance information directly from the DBS or a registered umbrella that the certificate has been issued and contains no information?</p>	<p><i>Yes / No. Confirm date information received and add note to the SCR. Take into account when deciding on level of risk.</i></p>
<p>Have professional and character references been received and verbally verified?</p>	<p><i>If no, the individual must not commence employment. If yes, are the references detailed and do they provide strong evidence of good conduct in previous relevant work? What other information does the School hold on the individual?</i></p>
<p>What duties and responsibility will the individual have and will he / she ordinarily have unsupervised access to children (or vulnerable adults)?</p>	

<p>Level of accountability / responsibility:</p>	<p><i>What level of supervision should the individual receive? Consider the responses to the above questions. The appropriate level of supervision will depend on what is known about the individual by the School, their role / duties and the level of access to children (or vulnerable adults).</i></p>	
<p>Risk before precautions/ controls High / medium / low <i>(Please explain the reasons for your decision)</i></p>	<p>Minimise risk by <i>(Describe precautions / controls):</i></p>	<p>Risk after controls are in place High / medium / low <i>(Please explain the reasons for your decision - if there is still a risk despite controls applicant should not commence employment until enhanced DBS disclosure is received)</i></p>
<p>Decision</p>		
<p>The Applicant will not commence work until DBS disclosure received: <input type="checkbox"/></p>	<p>The Applicant will commence work subject to the following conditions: <input type="checkbox"/></p>	<p>The Applicant will commence work subject to the following conditions: <input type="checkbox"/> OR The Applicant will not commence work until the DBS disclosure has been received: <input type="checkbox"/></p>

Conditions of appointment:	
Confirm conditions of appointment and add note to the SCR.	
Assessed by Name and job title Signature	Date assessed
Approved by Name and job title Signature	Date approved

If work commences and the DBS disclosure certificate is not received within 14 days of the date work commenced then this risk assessment and the supervision arrangements / safeguards put in place must be reconsidered at that point, and fortnightly thereafter, until the disclosure is received by completing the following sections of this form. This re-assessment must cover:

- whether the risk level has changed;
- whether changes to the supervision arrangements or other safeguards detailed in this risk assessment are necessary or advisable; and
- how any such changes will be implemented in practice.

This form must also be re-signed and re-dated by the assessor every 14 days until the disclosure is received.

Has the DBS disclosure been received within 14 days of the date work commenced?

Yes

No

If no you must complete the following sections of this form.

Is there any change to the risk level identified above?

Yes

No

If yes you must provide details below about what has changed and why.

Are any changes necessary or advisable to the supervision arrangement or other safeguards detailed in this risk assessment?

Yes

No

If yes you must provide details below of the appropriate changes, discuss and agree them and their implementation with the individual and their Head of Department or line manager / supervisor.

Details of any change to the risk level and reasons for that conclusion:

Details of any changes to supervision arrangements or other safeguards:

Re-assessed by Name and job title Signature		Date re-assessed
Has the DBS disclosure been received within 14 days of the date work commenced? Yes <input type="checkbox"/> No <input type="checkbox"/> If no you must complete the following sections of this form.	Is there any change to the risk level identified above? Yes <input type="checkbox"/> No <input type="checkbox"/> If yes you must provide details below about what has changed and why.	Are any changes necessary or advisable to the supervision arrangement or other safeguards detailed in this risk assessment? Yes <input type="checkbox"/> No <input type="checkbox"/> If yes you must provide details below of the appropriate changes, discuss and agree them and their implementation with the individual and their Head of Department or line manager / supervisor.
Details of any change to the risk level and reasons for that conclusion: Details of any changes to supervision arrangements or other safeguards:		

Re-approved by Name and job title Signature	Date re-approved
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